Attorney's Docket No.: 50277-1561

<u>Patent</u>

DECLARATION FOR PATENT APPLICATION

As a below named inventor(s), I hereby declare that:

My residence, mailing a	ddress and citizens	hip are as stated below, next to m	ıy name.		
	ames are listed belo	rentor (if only one name is listed bow) of the subject matter which is			
	"NESTED TRAN	ISACTIONS IN A FILE SYST	<u>TEM</u> °		
the specification of which	ch				
X	is attached hereto. was filed on _May 11, 2001				
		erstand the contents of the above amendment referred to above.	e-identified	specification,	
I acknowledge the duty Title 37, Code of Feder	to disclose all inforr al Regulations, Sect	nation known to me to be materia ion 1.56 (copy attached).	l to patenta	bility às defined in	
foreign application(s) for	r patent or inventor's catent or inventor's c	r Title 35, United States Code, Se s certificate listed below and have ertificate having a filing date befo	also identi	fied below any	
Prior Foreign Applicatio	<u>n(s)</u>		Priority <u>Claimed</u>		
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No	
I hereby claim the bene provisional application(s		nited States Code, Section 119(e)	of any Unit	ted States	
60/204.196		May 12, 2000			
(Application Number)	(Filing Date)			
(Application Numbe	r)	(Filing Date)			



I hereby claim benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Titl 35, United States Code, Section 112, I acknowledge the duty to disclose all Information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 (copy attached) which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Number)	(Filing Date)	(Status - patented, pending, abandoned)
(Application Number)	(Filing Date)	(Status - patented, pending, abandoned)
(Application Number)	(Filing Date)	(Status - patented, pending, abandoned)
on information and belief are be knowledge that willful false state	lieved to be true; and furth ements and the like so mad if the United States Code a	on knowledge are true and that all statements made ter that these statements were made with the de are punishable by fine or Imprisonment, or both, and that such willful false statements may ed thereon.
Full Name of Sole/First Inventor	(given name, family name)	DAVID LONG Date 6/13/2001
Residence Walnut Creek, Cal (City, State)	ifomia C	Citizenship _USA(Country)
Mailing Address 1326 Milton	Avenue, Walnut Creek, C	California 94596
Full Name of Second Inventor (gi/en name, family name)	DAVID B. PITFIELD
Inventor's Signature	>	Date6/13/_2001
Residence <u>San Jose, Californi</u> (City, State)	a	Citizenship <u>Canada</u> (Country)
Malling Address 1317 Dale A	venue, San Jose, Californ	nia 95125

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Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all Information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by ss 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application:
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

DAVID LONG, et al.

Serial No.: 09/853,823

Filed on: May 11, 2001

For: NESTED TRANSACTIONS IN A FILE SYSTEM

POWER OF ATTORNEY AND REVOCATION OF PREVIOUS POWERS

Commissioner for Patents Washington, D.C. 20231

Sir:

Oracle Corporation, a Delaware corporation having a place of business at 500 Oracle Parkway, M/S 50P7, Redwood Shores, California 94065, certifies that to the best of its knowledge and belief it is the assignee or is entitled to ownership of the entire right, title, and interest in and to the above-referenced patent application by virtue of an Assignment filed concurrently herewith and represents that the undersigned is a representative authorized and empowered to sign on behalf of Oracle Corporation, which hereby revokes all powers of attorney previously given and appoints the following attorney(s) and/or agent(s): Edward A. Becker, Reg. No. 37,777; Marcel K. Bingham, Reg. No. 42,327; Carl L. Brandt, Reg. No. 44,555; Brian D. Hickman, Reg. No. 35,894; Craig G. Holmes, Reg. No. 44,770; Christopher J. Palermo, Reg. No. 42,056; Carina M. Tan, Reg. No. 45,769; Bobby K. Truong, Reg. No. 37,499, Van Mahamedi, Reg. No. 42,828 and John D. Henkhaus, Reg. No. 42,656, all of

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and

Sanjay Prasad, Reg. No. 36,247; and Roger Kennedy, Reg. No. 44,823, of ORACLE CORPORATION

with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. Send all future correspondence to the attention of Brian D. Hickman, Reg. No. 35,894, care of the above address and direct all telephone calls to the same at (408) 414-1080.

Assignee of Interest:

Oracle Corporation

Dated: 6 - 28-01

By:

Name:

Title:

Address of Assignee of Interest:

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